

OCT 11 2006

001/005

10/11/2006 15:17 FAX

MERCHANT & GOULD

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An Intellectual Property Law Firm

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3200 IDS Center  
80 South Eighth Street  
Minneapolis, MN 55402-2215

A Professional Corporation

Fax Transmission | 11 October 2006

TO:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

FROM: Brian H. Batzli  
OUR REF: 13041.0014US01  
TELEPHONE: 612.336.4755

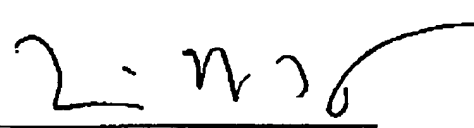
Total pages, including cover letter: 4

PTO FAX NUMBER 1-571-273-8300

If you do NOT receive all of the pages, please telephone us at 612.332.5300, or fax us at 612.332.9081.

Title of Document Transmitted: Request for Refund  
Applicant: Isao MATSUMOTO  
Serial No.: 09/866,180  
Filed: 25 May 2001  
Patent No.: 7,087,343  
Issued: 8 August 2006  
Group Art Unit: 1745  
Our Ref. No. 13041.0014US01  
Confirmation No. 4591

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By:   
Name: Brian H. Batzli  
Reg. No.: 32,960

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Casey Caron

Signature

Date

GEN033.DOT

PAGE 1/5 \* RCVD AT 10/11/2006 4:19:34 PM [Eastern Daylight Time] \* SVR:USPTO-EFAXF-2/6 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):02-02

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PATENT

S/N 09/866,180

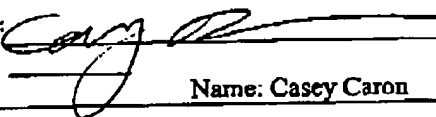
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Isao MATSUMOTO.	Examiner:	Mark Ruthkosky
Serial No.:	09/866,180	Group Art Unit:	1745
Filed:	25 May 2001	Docket No.:	13041.0014US01
Patent No:	7,087,345	Issued:	8 August 2006
Title:	SPIRALLY-ROLLED ELECTRODES WITH SEPARATOR AND THE BATTERIES THEREWITH		

CERTIFICATE UNDER 37 CFR 1.8(b):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on 11 October 2006.

By



Name: Casey Caron

REFUND REQUEST FOR SMALL ENTITY (37 CFR 1.28)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby inform the Commissioner that their status has been established as Small Entity since the time of filing the application on 25 May 2001. Under 37 C.F.R. 1.28 (c), we are requesting a refund for the amount of \$700.00 to correctly pay a Small Entity Issue fee of \$700.00.

Attached please find our May 2006 statement regarding our deposit account charges. Note the highlighted portion of the statement where the USPTO charged \$1400.00 on 26 May 2006 for the payment of the Issue Fee. Also attached is a copy of the Issue Fee transmittal and Fax Cover Sheet where it was indicated that a small entity payment of \$700.00 is required.

Please credit the deposit account no. 13-2725 for the amount of \$700.00 to amend the Large Entity charge. If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of record, Brian H. Batzli (Reg. No. 32,960), at 612-336-4755.

Respectfully submitted,

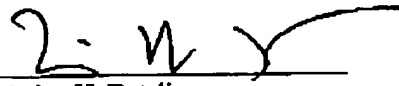
MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

23552

PATENT TRADEMARK OFFICE

Dated: 11 October 2006

By



Brian H. Batzli  
Reg. No. 32,960

BHB/cjc

**Deposit Account Statement**

Requested Statement Month: May 2006  
 Deposit Account Number: 132726  
 Name: MERCHANT & GOULD P.A.  
 Attention: ACCOUNTING DEPT  
 Address: 3200 IDS CENTER  
 City: MINNEAPOLIS  
 State: MN  
 Zip: 55402-2215  
 Country: UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
05/26	147	11360448	14917.0258USU8/MS316539.0	1111	\$500.00	\$62,644.22
05/26	148	11360448	14917.0258USU8/MS316539.0	1311	\$200.00	\$62,444.22
05/26	149	11360448	14917.0258USU8/MS316539.0	1051	\$130.00	\$62,314.22
05/26	150	11360448	14917.0258USU8/MS316539.0	1081	\$1,000.00	\$61,314.22
05/26	151	11360457	14917.0258USU3/MS311586.1	1011	\$300.00	\$61,014.22
06/26	162	11360457	14917.0258USU3/MS311586.1	1111	\$500.00	\$60,514.22
X 05/26	152	09866180	13041.14US01	1501	\$1,400.00	\$59,114.22
05/26	153	11360457	14917.0258USU3/MS311586.1	1311	\$200.00	\$58,914.22
05/26	153	09866180	13041.14US01	1504	\$300.00	\$58,614.22
05/26	154	11360457	14917.0258USU3/MS311586.1	1051	\$130.00	\$58,484.22
06/26	154	09866180	13041.14US01	8001	\$6.00	\$58,478.22
05/26	155	11360457	14917.0258USU3/MS311586.1	1081	\$1,000.00	\$57,478.22
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05/26	1580	78893788	9926.38US01	7001	\$325.00	\$56,083.22
05/30	23	10298730	11909.2USWO	2251	\$60.00	\$56,023.22
05/30	28	PCT/US06/19441	02316.2119WO01	1601	\$300.00	\$55,723.22
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05/30	28	PCT/US06/19441	02316.2119WO01	1702	\$1,086.00	\$52,712.22
05/30	29	PCT/US06/19441	02316.2119WO01	1703	\$288.00	\$52,424.22
05/30	30	PCT/US06/19441	02316.2119WO01	8007	\$20.00	\$52,404.22

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80 South Eighth Street  
Minneapolis, MN 55402-2215

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25 May 2006

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TO: Issue Fee

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

FROM: Brian H. Batzli

OUR REF: 13041.0014US01

TELEPHONE: 612.336.4755

Total pages, including cover letter: 2

PTO FAX NUMBER 1-571-273-2885

If you do NOT receive all of the pages, please telephone us at 612.332.5300, or fax us at 612.332.9081.

Title of Document Transmitted: Issue FeeApplicant: Isao MATSUMOTOSerial No.: 09/866,180Filed: 25 May 2001Group Art Unit: 1745Our Ref. No. 13041.0014US01Confirmation No. 4591

Please charge Deposit Account No. 13-2725 for the following item(s): \$300.00 for Publication Fee, \$700.00 for Utility issue fee, \$6.00 for Patent Copies. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By: Name: Brian H. BatzliReg. No.: 32,960

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Casey CaronSignature Date 25 May 2006

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## PART B - FEE(S) TRANSMITTAL

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Commissioner for Patents  
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, Advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Name; Use Block 1 for any change of address)

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Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission  
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Casey Caron

(Depositor's name)

25 May 2006

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/856,180	05/23/2001	Iso Matsumoto	13041.14US01	4591

TITLE OF INVENTION: SPIRALLY-ROLLED ELECTRODES WITH SEPARATOR AND THE BATTERIES THEREWITH

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	06/21/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
RUTHKOSKY, MARK	1745	429-094000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Merchant &amp; Gould P.C.

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.111. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies Two (2) Copies

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 13-2725 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(c)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Brian H. Batzli

Date 25 May 2006

Typed or printed name

Registration No. 32,960

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Day : Wednesday

Date: 10/11/2006

Time: 16:43:27

 **PALM INTRANET****Application Number Information**

Application Number: 09/866180

Examiner Number: 76046 / RUTHKOSKY, MARK**Assignments**

Filing or 371(c) Date: 05/25/2001

Group Art Unit: 1745 IFW IMAGE

Effective Date: 05/25/2001

Class/Subclass: 429/094.000

Application Received: 05/29/2001

Lost Case: NO

Pat. Num./Pub. Num: 7087345/20020076606

Interference Number:

Issue Date: 08/08/2006

Unmatched Petition: NO

Date of Abandonment: 00/00/0000

L&R Code: Secrecy Code:1

Attorney Docket Number: 13041.14US01

Third Level Review: NO

Secrecy Order: NO

Status: 150 /PATENTED CASE

Status Date: 07/19/2006

Confirmation Number: 4591

Oral Hearing: NO

Title of Invention: **SPIRALLY-ROLLED ELECTRODES WITH SEPARATOR AND THE BATTERIES THEREWITH**

Bar Code	PALM Location	Location Date	Charge to Loc	Charge to Name	Employee Name	Location
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